## In The Supreme Court of the United States

UNITED STATES OF AMERICA,

Petitioner,

V.

EDITH SCHLAIN WINDSOR, and BIPARTISAN LEGAL ADVISORY GROUP OF THE HOUSE OF REPRESENTATIVES,

Respondents.

On Writ Of Certiorari To The United States Court Of Appeals For The Second Circuit

BRIEF OF AMICUS CURIAE GLMA:
HEALTH PROFESSIONALS ADVANCING
LGBT EQUALITY (GAY AND LESBIAN
MEDICAL ASSOCIATION) CONCERNING
THE IMMUTABILITY OF SEXUAL ORIENTATION
IN SUPPORT OF AFFIRMANCE ON THE MERITS

HECTOR VARGAS,
Executive Director
GLMA: HEALTH
PROFESSIONALS ADVANCING
LGBT EQUALITY
1326 18th Street, NW,
Suite 22
Washington, DC 20036
(202) 600-8037

February 26, 2013

NICHOLAS M. O'DONNELL Counsel of Record SULLIVAN & WORCESTER LLP One Post Office Square Boston, MA 02109 (617) 338-2800 nodonnell@sandw.com

Counsel for Amicus Curiae GLMA: Health Professionals Advancing LGBT Equality

#### TABLE OF CONTENTS

		P	age
RIA	$\boldsymbol{E}$	ENT OF INTEREST OF AMICUS CU- GLMA: HEALTH PROFESSIONALS ICING LGBT EQUALITY	1
		UCTION AND SUMMARY OF THE AR-	3
ARGU	JME	ENT	4
I.	$\mathbf{EL}$	MUTABILITY IS NOT A REQUIRED EMENT TO APPLY HEIGHTENED RUTINY	4
II.	TH	E COURT HAS NOT VIEWED SEX- L ORIENTATION AS BEHAVIORAL	8
III.		XUAL ORIENTATION IS AN INNATE MAN CHARACTERISTIC	12
	A.	Twin Studies Confirm the Biological Component of Sexual Orientation	13
	В.	Pedigree Studies Have Found that Sexual Orientation is Heritable in Families	16
	C.	Fraternal Birth Order Effect Confirms Biological Origin of Sexual Orientation	17
	D.	Pre-Natal Hormone Levels Affect Sexual Orientation	19
		1. Congenital Adrenal Hyperplasia	21
		2. Xenoandrogens	23
	E.	dicates that Sexual Orientation is Her-	9.4
		itable	24

#### ${\bf TABLE\ OF\ CONTENTS-Continued}$

		Pa	age
	F.	Brain Studies Show the Biologic Roots of Sexual Orientation	25
	G.	The Overwhelming Majority of Humans Self-Describe Their Sexual Orientation as Innate	26
	SE	SGUIDED EFFORTS TO CHANGE XUAL ORIENTATION PROVE THE NATENESS OF THE TRAIT	27
	OF TH	E STUDIES CITED BY SUPPORTERS DOMA FAIL TO DEMONSTRATE AT SEXUAL ORIENTATION IS BE- VIORAL	32
	A.	Petitioners' Repeated Reliance on the Work of Lisa Diamond Actually Dem- onstrates that Sexual Orientation is Innate, Not the Reverse	32
	В.	Petitioners' Other Studies Do Not Support the Conclusion that Sexual Orientation is Behavioral	38
CONC	LL	SION	39

#### TABLE OF AUTHORITIES

Page
CASES
Ben-Shalom v. Marsh, 881 F.2d 454 (7th Cir. 1989)
Bowen v. Gilliard, 483 U.S. 587 (1987)6
Bowers v. Hardwick, 478 U.S. 186 (1986)10
Christian Legal Society Chapter of the University of California, Hastings Coll. of Law v. Martinez, 130 S. Ct. 2971 (2010)9
City of Cleburne, Texas v. Cleburne Living Center, 473 U.S. 432 (1985)
Equality Foundation of Greater Cincinnati, Inc. v. Cincinnati, 54 F.3d 261 (6th Cir. 1995)10
Frontiero v. Richardson, 411 U.S. 677 (1973)6
Golinski v. Office of Personnel Mgmt., Nos. 12- 15388 and 12-15409 (9th Cir. June 11, 2012), ECF No. 59
Golinski v. United States Office of Personnel Mgmt., 824 F. Supp. 2d 968 (N.D. Cal. 2012)6, 11
Graham v. Richardson, 403 U.S. 365 (1971)7
Hernandez-Montiel v. Immigration and Naturalization Service, 225 F.3d 1084 (9th Cir. 2000)
High Tech Gays v. Defense Industrial Security Clearance Office, 895 F.2d 563 (9th Cir. 1990)10
High Tech Gays v. Defense Industrial Security Clearance Office, 909 F.2d 375 (9th Cir. 1990)6

Page
Karouni v. Gonzales, 399 F.3d 1163 (9th Cir. 2005)11
Kerrigan v. Commissioner of Pub. Health, 289 Conn. 135, 957 A.2d 407 (2008)12
$Lawrence\ v.\ Texas,539\ U.S.\ 558\ (2003)8,9,10$
In re Marriage Cases, 43 Cal. 4th 757, 183 P.2d 384 (2008)
Matthews v. Lucas, 427 U.S. 495 (1976)7
Miller v. Albright, 523 U.S. 420 (1998)7
Njenga v. United States Attorney General, 216 F. App'x 963 (11th Cir. 2007)5
Nyquist v. Mauclet, 432 U.S. 1 (1977)7
Pedersen v. Office of Personnel Management, 881 F. Supp. 2d 294 (D. Conn. 2012)
Perry v. Schwarzenegger, 704 F. Supp. 2d 921           (N.D. Cal. 2010)
San Antonio Independent School District v. Rodriguez, 411 U.S. 1 (1973)6
United States v. Carolene Products Company, 304 U.S. 144 (1938)7
Watkins v. United States Army, 875 F.2d 699 (9th Cir. 1989)
Weber v. Aetna Casualty & Surety Company, 406 U.S. 164 (1972)6
Windsor v. United States, 699 F.3d 169 (2d Cir. 2012)

	Page
Woodward v. United States, 871 F.2d 1068 (9th Cir. 1989)	10
Zavaleta-Lopez v. Attorney General of United States, 360 F. App'x 331 (3d Cir. 2010)	5
Statutes	
1 U.S.C. § 7 (1996)p	assim
OTHER AUTHORITIES	
Adelson, S.A., et al., Practice Parameters on Gay, Lesbian, or Bisexual Sexual Orientation, Gender Nonconformity, and Gender Discordance in Children and Adolescents, Journal of the American Academy of Child and Adolescent Psychiatry (Sept. 2012)	29
Allen, L.S., et al., Sexual Orientation and the Size of the Anterior Commissure in the Human Brain, Proceedings, NATIONAL ACADEMY OF SCIENCES (Aug. 1992)	25
American Academy of Pediatrics, <i>Policy Statement, Homosexuality and Adolescence</i> , AMERICAN ACADEMY OF PEDIATRICS (1993)	29
AMERICAN PSYCHOLOGICAL ASSOCIATION, REPORT OF THE AMERICAN PSYCHOLOGICAL ASSOCIATION TASK FORCE ON APPROPRIATE THERAPEUTIC RESPONSES TO SEXUAL ORIENTATION (2009)	28, 30

	Page
Bagemihl, B., BIOLOGICAL EXUBERANCE: ANIMAL HOMOSEXUALITY AND NATURAL DIVERSITY (1999)	8
Bailey, J.M., et al., A Genetic Study of Male Sexual Orientation, Archives of General Psychiatry (Dec. 1991)	14
Bailey, J.M., et al., A Family History Study of Male Sexual Orientation Using Three Inde- pendent Samples, Behavior Genetics (1999)1	16, 26
Bailey, J.M., et al., Genetic and Environmental Influences on Sexual Orientation and Its Correlates in an Australian Twin Sample, JOURNAL OF PERSONALITY AND SOCIAL PSYCHOLOGY (Mar. 2000)	15
Baron, M., Genetics and Human Sexual Orientation, BIOLOGICAL PSYCHIATRY (June 1993)	15
Bearman, P., et al., Opposite-Sex Twins and Adolescent Same-Sex Attraction, 107 American journal of Sociology 1179 (2002)	38
Blanchard, R., Fraternal Birth Order and the Maternal Immune Hypothesis of Male Homosexuality, HORMONES AND BEHAVIOR (Sept. 2001)	17
Blanchard, R., Quantitative and Theoretical Analyses of the Relation Between Older Brothers and Homosexuality in Men, JOURNAL OF THEORETICAL BIOLOGY (Sept. 21, 2004)	17

	Page
Bogaert, A.F., et al., Sexual Orientation, Fraternal Birth Order, and the Maternal Immune Hypothesis, Frontiers in Neuroendocrinology (2011)	17
Bouchard, T.J., et al., Sources of Human Psychological Differences: the Minnesota Study of Twins Reared Apart, Science (Oct. 12, 1990)	14
Breedlove S.M., Organizational Hypothesis: Instances of the Fingerpost, Endocrinology (Sept. 2010)	22
Carey, Benedict, Psychiatry Giant Sorry for Backing Gay 'Cure', NEW YORK TIMES (May 18, 2012)	31
Cohen-Bendahan, C.C., et al., Is There an Effect of Prenatal Testosterone on Aggression and Other Behavioral Traits? A Study Comparing Same-Sex and Opposite-Sex Twin Girls, HORMONES & BEHAVIOR 47 (Feb. 2005)	20
Cohen-Bendahan, C.C., et al., Prenatal Exposure to Testosterone and Functional Cerebral Lateralization: A Study In Same-Sex and Opposite-Sex Twin Girls, PSYCHONEUROENDOCRINOLOGY (Aug. 2004)	20
Culbert, K.M., et al., Prenatal Hormone Exposure and Risk for Eating Disorders: A Comparison of Opposite-Sex and Same-Sex Twins, Archives of General Psychiatry (Mar. 2008)	91

	Page
Dempsey P.J., et al., Increased Tooth Crown Size in Females With Twin Brothers: Evi- dence For Hormonal Diffusion Between Hu- man Twins in Utero, American Journal of Human Biology: The Official Journal of The Human Biology Council (Sept. 1999)	21
Dessens, A.B., et al., Prenatal Exposure to Anti- convulsants and Psychosexual Development, Archives of Sexual Behavior (Feb. 1999)	23
Dessens, A.B., et al., Association of Prenatal Phenobarbital and Phenytoin Exposure with Genital Anomalies and Menstrual Disorder, Teratology (Oct. 2001)	23
Dewing, P., et al., Disorders of Gonadal Development, SEMIN. REPROD. MED. (Aug. 2002)	20
Diamond, L.M., Sexual Identity, Attractions, and Behavior Among Young Sexual-Minority Women Over a 2-Year Period, 36 Developmental Psychology 241 (2000)	33, 34
Diamond, L.M., What We Got Wrong about Sexual Identity Development: Unexpected Findings from a Longitudinal Study of Young Women, in Sexual Orientation and Mental Health: Examining Identity and Development in Lesbian, Gay, and Bisexual People 79 (A.M. Omoto & H.S. Kurtzman, eds. 2005)	35
Diamond, L.M., Female Bisexuality from Adolescence to Adulthood: Results from a 10-Year Longitudinal Study, Developmental Psychol-	
ocy(2008)	34

Pa	age
Diamond, L.M., Expert Affidavit of Lisa M. Diamond, PhD, No. 3:10-cv-01750-VLB (D. Conn.), Sept. 14, 2011, ECF No. 99	37
Ehrhardt, A.A., et al., Sexual Orientation After Prenatal Exposure to Exogenous Estrogen, Archives of Sexual Behavior (Feb. 1985)	23
Ehrhardt, A.A., et al., Psychosexual Development: An Examination of the Role of Prenatal Hormones, CIBA FOUNDATION SYMPOSIUM (Mar. 14-16, 1978)	22
Garnets, L.D., et al., A New Paradigm for Women's Sexual Orientation: Implications for Therapy, 24 Women & Therapy 111 (2001)	39
Graham, T.C., The Shifting Doctrinal Face of Immutability, 19 VIRGINIA JOURNAL OF SOCIAL POLICY & THE LAW 169 (Spring 2012)	7
Haldeman, D., The Practice and Ethics of Sex- ual Orientation Conversion Therapy, JOUR- NAL OF CONSULTING & CLINICAL PSYCHOLOGY (1994)	28
Hamer, D.H., et al., A Linkage Between DNA Markers on the X Chromosome and Male Sexual Orientation, SCIENCE (Jul. 1993)	24
Herek, G.M., et al., Demographic, Psychological, and Social Characteristics of Self-Identified Lesbian, Gay, and Bisexual Adults in a US Probability Sample, SEXUALITY RESEARCH & SOCIAL POLICY (2010)	. 27

	Page
Hines, M., et al., Androgen and the Development of Human Sex-Typical Behavior: Rough-and-Tumble Play and Sex of Preferred Playmates in Children With Congenital Adrenal Hyperplasia (CAH), CHILD DEVELOPMENT (Aug. 1994)	22
Huffington Post, The Doctor is Out and Outspoken: An Interview with Dr. Lisa Diamond (May 12, 2012)	36
Iemmola, F., et al., New Evidence of Genetic Factors Influencing Sexual Orientation in Men, Archives of Sexual Behavior (2009)	18
Jannini, E.A., et al., <i>Male Homosexuality:</i> Nature or Culture? Controversies in Sexual Medicine (2010)	18
Kirk, K.M., et al., Measurement Models for Sexual Orientation in a Community Twin Sample, Behavior Genetics (2000)	14
Langstrom, N., et al., Genetic and Environmental Effects of Same-Sex Sexual Behavior: A Population Study of Twins in Sweden, ARCHIVES OF SEXUAL BEHAVIOR (2010)	36
LeVay, S.A., Difference in Hypothalamic Structure Between Heterosexual and Homosexual Men, Science (Aug. 1991)	25, 26
Marcosson, S.A., Constructive Immutability, 3 UNIVERSITY OF PENNSYLVANIA JOURNAL OF CONSTITUTIONAL LAW 646 (2001)	7

	Page
Meyer-Bahlburg H.F., et al., Gender Development in Women With Congenital Adrenal Hyperplasia as a Function of Disorder Severity, Archives of Sexual Behavior (Dec. 2006)	22
Meyer-Bahlburg, H.F., et al., Sexual Orientation in Women With Classical or Non-Classical Congenital Adrenal Hyperplasia as a Function of Degree of Prenatal Androgen Excess, Archives of Sexual Behavior (Feb. 2008)	22
Money, J., et al., Bisexually Concordant, Heterosexually and Homosexually Discordant: A Matched-Pair Comparison of Male and Female Adrenogenital Syndrome, PSYCHIATRY (May 1987)	21
Mustanski B.S., et al., A Critical Review of Recent Biological Research on Human Sexual Orientation, Annual Review of Sex Re- Search (2002)	16
Mustanski, B.S., et al., A Genomewide Scan of Male Sexual Orientation, Human Genetics (2005)	15, 16
Mustanski B.S., et al., Mental Health Disorders, Psychological Distress, and Suicidality in a Diverse Sample of Lesbian, Gay, Bisexual, and Transgender Youths, American Journal, OF Pub. Health (Dec. 2010)	16

ŀ	Page
Newcomb M.E., et al., Examining Risk and Protective Factors for Alcohol Use in Lesbian, Gay, Bisexual, and Transgender Youth: A Longitudinal Multilevel Analysis, JOURNAL OF STUDIES ON ALCOHOL AND DRUGS (2012)	16
Nordenskjold, A., et al., Type of Mutation and Surgical Procedure Affect Long-Term Quality of Life For Women With Congenital Adrenal Hyperplasia, JOURNAL OF CLINICAL ENDOCRINOLOGY & METABOLISM (Feb. 2008)	23
Nygren, U., et al., Voice Characteristics in Women With Congenital Adrenal Hyperplasia Due to 21-Hydroxylase Deficiency, CLINICAL ENDOCRINOLOGY 70 (2009)	22
O'Hanlan K.A., Health Policy Considerations For Our Sexual Minority Patients, Obstet. Gynecol. (Mar. 2006)	2
O'Hanlan K.A., Do We Really Mean Preventive Medicine for All? Am. J. Prev. Med. (1996)	2
O'Hanlan K.A., Domestic Partnership Benefits at Medical Universities, JOURNAL OF THE AMERICAN MEDICAL ASSOCIATION (1999)	2
Pasterski, V., et al., Increased Aggression and Activity Level in 3- to 11-Year-Old Girls With Congenital Adrenal Hyperplasia (CAH), HORMONES AND BEHAVIOR (Sept. 2007)	22
Pattatucci, A.M.L., et al., Development and Familiality of Sexual Orientation in Females, Behavior Genetics (Sept. 1995).	16

	Page
Pattatucci, A.M.L., Biopsychosocial Interac- tions and the Development of Sexual Orienta- tion, in Patterson, C., et al., Lesbian, Gay, and Bisexual Identities in Families (Oxford University Press 1998)	24
Pattatucci, A.M.L., Molecular Investigations into Complex Behavior: Lessons from Sexual Orientation Studies, The International Journal of Population Biology and Genetics (Apr. 1998)	24
Peper, J.S., et al., <i>Does Having a Twin-Brother Make For a Bigger Brain?</i> , European Journal of Endocrinology 160 (2009)	21
Pillard, R.C., et al., Evidence of Familial Nature of Male Homosexuality, Archives of General Psychiatry (Aug. 1986)	16
Pillard, R.C., Homosexuality from a Familial and Genetic Perspective, Textbook of Homosexuality and Mental Health (1996)	15, 16
Pillard, R.C., The Search for a Genetic Influence on Sexual Orientation, SCIENCE AND HO- MOSEXUALITIES (V.A. Rosario, ed. 1997)	15
Posner, R.A., SEX AND REASON (1992)	28
Ryan, C., et al., Family Rejection as a Predictor of Negative Health: Outcomes in White and Latino Lesbian, Gay and Bisexual Young Adults, Journal of Pediatrics (2009)	90
Auulis, Journal of Fediatrics (2009)	∠ઝ

	Page
Shapiro, M.R., Treading the Supreme Court's Murky Immutability Waters, 38 Gonzaga L. Rev. 409 (2002-03)	8
Swaab, D.F., et al., An Enlarged Suprachiasmatic Nucleus in Homosexual Men, Brain Re- SEARCH (Dec. 1990)	26
Swaab, D.F., et al., Sexual Differentiation of the Human Brain in Relation to Gender Identity and Sexual Orientation, Functional Neurology (JanMar. 2009)	19
Turner, W.J., Homosexuality, Type 1: An Xq28 Phenomenon, Archives of Sexual Behavior (Nov. 1995)	14
Voracek, M., et al., Digit Ratio (2D:4D) in Twins: Heritability Estimates and Evidence For a Masculinized Trait Expression in Women From Opposite-Sex Pairs, PSYCHOLOGICAL REPORTS (Feb. 2007)	21
Whitam, F.L., et al., Homosexual Orientation in Twins: A Report on 61 Pairs and Three Triplet Sets, Archives of Sexual Behavior (June 1993)	14
Yoshino, K., Assimilationist Bias in Equal Protection: The Visibility Presumption and the Case of "Don't Ask, Don't Tell", 108 YALE L.J. 485 (1998)	8

# STATEMENT OF INTEREST OF AMICUS CURIAE GLMA: HEALTH PROFESSIONALS ADVANCING LGBT EQUALITY

Amicus Curiae<sup>1</sup> GLMA: Health Professionals Advancing LGBT Equality ("GLMA") is the largest and oldest association of lesbian, gay, bisexual and transgender ("LGBT") healthcare and health professionals of all disciplines, including physicians, nurses, physician assistants, behavioral health specialists and researchers. GLMA's mission is to ensure equality in healthcare for LGBT individuals and healthcare professionals, using the medical and health expertise of GLMA members in public policy and advocacy, professional education, patient education and referrals, and the promotion of research. GLMA was founded in 1981 as the American Association of Physicians for Human Rights (changing its name to the Gay and Lesbian Medical Association in 1994), in part as a response to the call to advocate for policy and services to address the growing health crisis that would become the HIV/AIDS epidemic. Since then, GLMA's mission has broadened to address the full range of

<sup>&</sup>lt;sup>1</sup> Counsel for all parties consented to the filing of this brief by letters on file with the Clerk of the Court, and have received directly notice of the *Amicus Curiae*'s intention to file this brief pursuant to Rule 37 of this Court. No counsel for any party authored this brief in whole or in part, and neither any such counsel nor any party nor any person or entity other than *Amicus Curiae* or their members or their counsel made a monetary contribution intended to fund the preparation or submission of this brief.

health issues affecting LGBT people, including ensuring that all healthcare providers provide a welcoming environment to LGBT individuals and their families and are competent to address specific health disparities affecting LGBT people.

GLMA's 2008 publication, *Same-Sex Marriage* and *Health* (O'Hanlan, K., et al.), documents the large body of scientific research indicating that the denial of marriage rights to gay men and lesbians can negatively impact their health and well-being and that of their children. The denial of marriage rights

<sup>&</sup>lt;sup>2</sup> Kate O'Hanlan M.D., a Gynecologic Oncology surgeon, and past President of GLMA, has made significant contributions to the field of sexual orientation and marriage equality, contributions that added greatly to GLMA's submission as Amicus Curiae. Dr. O'Hanlan wrote a comprehensive equal employment policy that was implemented at Stanford University in 1992, and co-authored *Homophobia As a Health Hazard: Report of the* GLMA. She wrote the American Medical Women's Association policy endorsing same-gender civil marriage in 1994. In 2007, she authored the American College of Obstetricians and Gynecologists District IX endorsement of marriage equality, and published a review of policies about civil marriage equality. She is a Fellow of the Rockway Institute, and gives invited lectures about the science of gender identity and sexual orientation at grand rounds in universities and medical schools. O'Hanlan K.A., Health Policy Considerations For Our Sexual Minority Patients, Obstet. Gynecol. (Mar. 2006); O'Hanlan K.A., Do We Really Mean Preventive Medicine for All? Am. J. Prev. Med. (1996); O'Hanlan K.A., Domestic Partnership Benefits at Medical Universities, JOURNAL OF THE AMERICAN MEDICAL ASSOCIATION (1999). The study cited above is available at http://glma.org/ document/docWindow.cfm?fuseaction=document.viewDocument& documentid=146&documentFormatId=236.

to same-sex couples is a form of discrimination that perpetuates stigma. Because marriage can help protect and promote the mental and physical health of lesbians and gay men and their children, GLMA supports efforts to secure marriage equality for same-sex couples.

### INTRODUCTION AND SUMMARY OF THE ARGUMENT

GLMA submits this brief as Amicus Curiae to make clear the scientific and clinical record concerning sexual orientation. Put simply, sexual orientation is an innate human characteristic that is treated unequally in the discrimination against same-sex marriage by the Defense of Marriage Act, 1 U.S.C. § 7 ("DOMA") which, accordingly, cannot survive any constitutional scrutiny that addresses immutability. Although proving that a particular characteristic is immutable is not a required element to apply heightened scrutiny to a discriminatory statute, the Court's prior protection of immutable traits is particularly apt in the case of DOMA, which singles out a community for one of its inherent characteristics in withholding the imprimatur of marriage-based benefits. *All* credible study of sexual orientation establishes that genetic, hereditary and biological influences are major factors in determining sexual orientation. By contrast, petitioners confuse research that shows a spectrum of sexual *attraction* with the conclusion that sexual *orientation* is fluid and changeable. This

fallacy is best highlighted by petitioners' and their amici's citations to research in which respondents changed from "uncertain" to one category or another as evidence that those participants' sexual orientations changed.

Scientists have studied the determining factors of sexual orientation from a variety of perspectives. These included family studies, twin studies, sibling studies, brain studies, and surveys. None – not one – shows the post-natal, behavioral conclusion advocated by the petitioners. Moreover, misguided attempts to change individuals' sexual orientation have had results that ranged from ineffective at best to tragic at worst, further underscoring the innate nature of sexual orientation. To reinstate DOMA would be to persecute a group of Americans solely on the basis of something about themselves that is fundamentally determined.

#### **ARGUMENT**

#### I. IMMUTABILITY IS NOT A REQUIRED EL-EMENT TO APPLY HEIGHTENED SCRU-TINY

Petitioners argue that to apply heightened scrutiny to DOMA, respondents were required to prove in the District Court that sexual orientation is an immutable characteristic. *See, e.g., Amicus Curiae* Brief of Dr. Paul McHugh in Support of Hollingsworth and Bipartisan Legal Advocacy Group Addressing the

Merits and Supporting Reversal. This is incorrect.<sup>3</sup> If the government discriminates against a suspect or quasi-suspect class, courts will review the law with heightened scrutiny. City of Cleburne, Tex. v. Cleburne Living Ctr., 473 U.S. 432, 437, 440-41 (1985). The Court views classifications based on race, national origin, and alienage as "suspect" and applies strict scrutiny where the government discriminates on these bases. See id. at 440-41. The Court views gender and illegitimacy classifications as "quasi-suspect," applying intermediate scrutiny. See id. The Court takes into account four factors when determining whether a class is suspect or quasi-suspect, and

<sup>&</sup>lt;sup>3</sup> Petitioners' amici are also incorrect when they assert (assuming immutability is a necessary prerequisite to suspect status) that the only "immutable characteristics" are those that result solely as an "accident of birth." See McHugh at 14-15. Rather, as numerous courts have held, a characteristic should be considered immutable if it is "so fundamental to the identities or consciences of its members that members either cannot or should not be required to change it." Hernandez-Montiel v. I.N.S., 225 F.3d 1084, 1093 (9th Cir. 2000); see also Njenga v. United States Attorney General, 216 F. App'x 963, 966-67 (11th Cir. 2007) (immutable characteristics are fundamental to individual identities or consciences); Zavaleta-Lopez v. Attorney General of United States, 360 F. App'x 331, 333 (3d Cir. 2010) ("immutable characteristics [are those] such as race, gender, or a prior position, status, or condition, or characteristics that are capable of being changed but are of such fundamental importance that persons should not be required to change them, such as religious beliefs."). In other words, a trait is immutable if "changing it would involve great difficulty, such as requiring a major physical change or a traumatic change of identity." Watkins v. United States Army, 875 F.2d 699, 726 (9th Cir. 1989) (Norris, J., concurring).

thus entitled to heightened scrutiny: historical discrimination; defining characteristics relative to the ability to contribute to society; "obvious, immutable, or distinguishing characteristics;" and minority status and/or lack of political power. Frontiero v. Richardson, 411 U.S. 677, 686 (1973) (plurality opinion); Windsor v. United States, 699 F.3d 169, 181-82 (2d Cir. 2012); see also Bowen v. Gilliard, 483 U.S. 587, 602 (1987); Cleburne, 473 U.S. at 440-41; Golinski v. United States Office of Pers. Mgmt., 824 F. Supp. 2d 968, 983 (N.D. Cal. 2012). Discrimination is "'more clearly unfair" when it is based on a characteristic over which people have no control, as those people are not responsible for the characteristic and have no ability to change it. Id. at 436 (quoting High Tech Gays v. Def. Indus. Sec. Clearance Office, 909 F.2d 375, 377 (9th Cir. 1990)). Differentiating among people based on an immutable characteristic violates "'the basic concept of our system that legal burdens should bear some relationship to individual responsibility." Frontiero, 411 U.S. at 686 (quoting Weber v. Aetna Cas. & Sur. Co., 406 U.S. 164, 175 (1972)).

To the contrary, the Court has frequently defined the "traditional indicia of suspectness" without reference to immutability. See, e.g., San Antonio Ind. Sch. Dist. v. Rodriguez, 411 U.S. 1, 28 (1973) (defining the "traditional indicia of suspectness" as those marking a class "saddled with such disabilities, or subjected to such a history of purposeful unequal treatment, or relegated to such a position of political powerlessness as to command extraordinary protection from the

majoritarian political process."). And the Court has, on several occasions, labeled groups that are defined by a changeable characteristic as a suspect class – without so much as mentioning the concept of immutability. In Graham v. Richardson, 403 U.S. 365 (1971), for example, the Court deemed aliens a suspect class, despite the fact that non-citizens can and often do become citizens of their own initiative. Id. at 372; see also Nyquist v. Mauclet, 432 U.S. 1, 9 n.11 (1977) (rejecting the dissent's argument that "strict scrutiny is inappropriate because under § 661 (3) a resident alien can voluntarily withdraw from disfavored status"). Similarly, the Court recognizes illegitimate children as a quasi-suspect class. *Matthews* v. Lucas, 427 U.S. 495, 505-06 (1976). This despite the fact that illegitimacy, at least for legal purposes, is also a mutable characteristic. See, e.g., Miller v. Albright, 523 U.S. 420, 431 (1998) (recognizing that an illegitimate child can be legitimated through actions of the father); Pedersen v. Office of Pers. Mgmt., 881 F. Supp. 2d 294, 320 (D. Conn. 2012).

Indeed, of the four factors supporting immutability set forth in *United States v. Carolene Products Company*, 304 U.S. 144 (1938), "immutability is the one that the Court has most readily abandoned, and that scholars have most persistently criticized." Graham, T.C., *The Shifting Doctrinal Face of Immutability*, 19 VA. J. Soc. Pol'y & L. 169, 179 (Spring 2012); see also Marcosson, S.A., *Constructive Immutability*, 3 U. PA. J. Const. L. 646, 647 (2001) (noting that the concept of immutability has been in decline

in Supreme Court equal protection analysis and may even be considered irrelevant); Shapiro, M.R., *Treading the Supreme Court's Murky Immutability Waters*, 38 Gonz. L. Rev. 409, 412 (2002-03) (asserting that the Supreme Court appears interested in "phasing out the immutability concept").<sup>4</sup>

In short, this Court's precedents manifest that immutability is simply not a required factor in the Court's calculus when defining a suspect or quasisuspect class.

### II. THE COURT HAS NOT VIEWED SEXUAL ORIENTATION AS BEHAVIORAL

Although the Court has never specifically addressed, in an equal protection analysis, whether laws discriminating on the basis of sexual orientation should be subject to heightened scrutiny, it has also declined the opportunity to hold sexual orientation to be behavioral. The Court's decision in *Lawrence v. Texas* stated that: "[w]hen homosexual *conduct*<sup>5</sup> is

<sup>&</sup>lt;sup>4</sup> See also Yoshino, K., Assimilationist Bias in Equal Protection: The Visibility Presumption and the Case of "Don't Ask, Don't Tell", 108 YALE L.J. 485, 490-91 (1998) (criticizing the concept of immutability and arguing for its demise in constitutional analysis).

<sup>&</sup>lt;sup>5</sup> Further to the points in Section III, below, Bruce Bagemihl's book BIOLOGICAL EXUBERANCE: ANIMAL HOMOSEXUALITY AND NATURAL DIVERSITY (1999) revealed that over 450 species of animals (birds, mammals, lizards, insects) engage in repeated sexual behaviors with their same sex, in the presence of opposite (Continued on following page)

made criminal by the law of the State, that declaration in and of itself is an invitation to subject homosexual *persons* to discrimination both in the public and in the private spheres." 539 U.S. 558, 575 (2003) (emphasis added). Similarly, in Justice O'Connor's concurrence, decided upon equal protection grounds, she states, "[w]hile it is true that the law applies only to conduct, the conduct targeted by this law is conduct that is closely correlated with being homosexual. Under such circumstances, Texas's sodomy law is targeted at more than conduct. It is instead directed toward gay persons *as a class*." *Id.* at 583 (O'Connor, J., concurring) (emphasis added).

In a related vein, the Court found that "[o]ur decisions have declined to distinguish between status and conduct in this context," *i.e.*, sexual orientation. The Court rejected the Christian Legal Society's ("CLS") claim that Hastings College of Law ("Hastings") violated its First Amendment rights by refusing to recognize CLS as a registered student organization for requiring agreement with the belief that sexual activity should not occur outside of marriage between a man and a woman. *Christian Legal Soc'y Chapter of the Univ. of Cal.*, *Hastings Coll. of Law v. Martinez*, 130 S. Ct. 2971, 2990 (2010).

sex potentials, some in life-long pairings. This evidence was cited by the Court in Lawrence.

The sparse precedent cited by petitioners is unavailing. Petitioners place great reliance on the slender shoulders of the High Tech Gays v. Defense Industrial Security Clearance Office, 895 F.2d 563 (9th Cir. 1990) case, in which the Ninth Circuit had held that "[h]omosexuality is not an immutable characteristic" and that discrimination against gay people did not justify heightened scrutiny. 895 F.2d at 574. That decision, however, for whatever it was once worth, was plainly overruled by the Ninth Circuit itself in the *Perry* case now before the Court, as well as by Hernandez-Montiel v. Immigration and Naturalization Service. Moreover, High Tech Gays relied on Bowers v. Hardwick, 478 U.S. 186 (1986) since overruled by Lawrence. 539 U.S. at 575. In Hernandez-Montiel, the Ninth Circuit held that "[s]exual orientation and sexual identity are immutable; they are so fundamental to one's identity that a person should not be required to abandon them." 225 F.3d 1084, 1093 (9th Cir. 2000), overruled in part on other

<sup>&</sup>lt;sup>6</sup> Examples of other circuit court decisions finding homosexuality to be a behavioral characteristic are badly dated by virtue of the clinical research GLMA addresses below. *Cf. Woodward v. United States*, 871 F.2d 1068, 1076 (9th Cir. 1989) (arguing that "homosexuality is primarily behavioral in nature"); *Equal. Found. of Greater Cincinnati, Inc. v. Cincinnati*, 54 F.3d 261, 267 (6th Cir. 1995) ("[t]hose persons who fall within the orbit of legislation concerning sexual orientation are so affected not because of their orientation but rather by their *conduct* which identifies them as homosexual, bisexual, or heterosexual") (emphasis in original); *Ben-Shalom v. Marsh*, 881 F.2d 454, 464 (7th Cir. 1989) (finding the challenged regulation was a classification based on conduct, not on status).

grounds by Thomas v. Gonzales, 409 F.3d 1177 (9th Cir. 2005). The Ninth Circuit confirmed Hernandez-Montiel's finding that sexual orientation is immutable for Equal Protection Clause purposes in Karouni v. Gonzales, 399 F.3d 1163, 1173 (9th Cir. 2005) (agreeing that homosexuality is a fundamental aspect of human identity and seeing "no appreciable difference between an individual . . . being persecuted for being a homosexual and being persecuted for engaging in homosexual acts").

The more persuasive authority has properly found sexuality to be an immutable characteristic. See, e.g., Watkins v. U.S. Army, 875 F.2d 699, 726 (9th Cir. 1989) (en banc) (Norris, J., concurring) (finding sexual orientation to be immutable in the eyes of the Equal Protection Clause because immutability is satisfied when the identifying trait is "so central to a person's identity that it would be abhorrent for government to penalize a person for refusing to change [it]"); Perry v. Schwarzenegger, 704 F. Supp. 2d 921, 966 (N.D. Cal. 2010) ("No credible evidence supports a finding that an individual may, through conscious decision, therapeutic intervention or any other method, change his or her sexual orientation."); Golinski v. U.S. Office of Pers. Mgmt., 824 F. Supp. 2d 968, 987 (N.D. Cal. 2012) ("The Court finds that a person's sexual orientation is so fundamental to one's identity that a person should not be required to abandon it. Therefore, this factor weighs in favor of the application of heightened scrutiny."); Pedersen v. Office of Pers. Mgmt., 881 F. Supp. 2d 294, 326 (D. Conn. 2012) (finding sexual orientation to be an immutable characteristic); *In re Marriage Cases*, 43 Cal. 4th 757, 842, 183 P.2d 384 (2008) ("Because a person's sexual orientation is so integral an aspect of one's identity, it is not appropriate to require a person to repudiate or change his or her sexual orientation in order to avoid discriminatory treatment."); *Kerrigan v. Comm'r of Pub. Health*, 289 Conn. 135, 186-87, 957 A.2d 407, 438 (2008):

In view of the central role that sexual orientation plays in a person's fundamental right to self-determination, we fully agree with the plaintiffs that their sexual orientation represents the kind of distinguishing characteristic that defines them as a discrete group for purposes of determining whether that group should be afforded heightened protection under the equal protection provisions of the state constitution.

### III. SEXUAL ORIENTATION IS AN INNATE HUMAN CHARACTERISTIC

The innate nature of sexual orientation fits squarely within the contours of the relevance of immutability previously expressed by the Court and discriminated against by DOMA. Put another way, although petitioners try to place a burden to prove immutability on respondents that they do not have, the nature of sexual orientation is a perfect example of why certain laws require heightened scrutiny. For all citizens to enjoy the equal protection of law, none can be the target of the law for something inherent to

their very humanity. Legion scientific evidence and study proves that sexual orientation is just such a trait. Moreover, the scientific evidence is equally clear that the consequences of treating sexual orientation otherwise, or, worse still, trying to change that orientation, have disastrous personal and social consequences.

No peer-reviewed published scientific studies support the hypotheses that life experience causes homosexuality, that sexual orientation is learned, that there is a psychological cause of homosexuality or that sexual orientation is chosen. This scientific consensus comes from a broad range of methodologies that includes pedigree studies (the research of family history); twin studies; molecular biology; brain anatomical studies; biophysiological studies; and hormonal linkages. These traits, while expressed together, are not expressed as absolute black and white, but along a broad spectrum of gray, with some or all individuals expressing their unique sexual traits of orientation and/or gender identity innately, as they mature.

### A. Twin Studies Confirm the Biological Component of Sexual Orientation.

Twins present a unique opportunity to control for genetics and environment. Identical, or monozygotic, twins share identical genetic material. Fraternal twins are, genetically speaking, no different than siblings born in sequence, but can be compared relative to identical twins as a control for environmental factors. Moreover, in circumstances where identical twins are raised separately, examination of traits gives additional insight into whether that trait's driving force is genetic or environmental.

Overall, a homosexual identical twin is generally twice as likely to share that same-sex attraction with his or her identical twin as is a gay fraternal twin with his or her non-identical twin. In one study the ratio was 52% to 22%. See Bailey, J.M., et al., A Genetic Study of Male Sexual Orientation, ARCHIVES OF GENERAL PSYCHIATRY (Dec. 1991); see also Whitam, F.L., et al., Homosexual Orientation in Twins: A Report on 61 Pairs and Three Triplet Sets, ARCHIVES OF SEXUAL BEHAVIOR (June 1993) ("Whitam 1993"); Turner, W.J., Homosexuality, Type 1: An Xq28 Phenomenon, Archives of Sexual Behavior (Nov. 1995) ("Turner 1995"). A recent comprehensive study involving a large cohort (4,901) of twins in Australia found "statistically significant support for the existence of significant genetic contributions to the trait of homosexuality." Kirk, K.M., Measurement Models for Sexual Orientation in a Community Twin Sample, Behav. Genet. (2000). Any such genetic contribution is, by definition, innate.

Looking then to studies of adopted twins raised in separate environments, approximately half of the heritability in sexual orientation appears attributable to a genetic component. Bouchard, T.J., et al., *Sources of Human Psychological Differences: the Minnesota Study of Twins Reared Apart*, Science (Oct. 12, 1990).

Critically, however, identical twins, whether raised together or raised apart, showed roughly the same outcome. Pillard, R.C., Homosexuality From a Familial and Genetic Perspective, Textbook of Homosexu-ALITY AND MENTAL HEALTH (1996); Pillard, R.C., The Search for a Genetic Influence on Sexual Orientation, Science and Homosexualities (1997). Some studies show that, given one twin with a same-sex orientation, the other twin will have a similar sexual orientation in roughly 50% of both male and female identical twins, but with lower rates in fraternal twins (males: 22%, females: 16%) and non-twin siblings (males: 9%; females: 14%). Baron, M., Genetics and Human Sexual Orientation, BIOLOGICAL PSYCHI-ATRY (June 1993); see also Bailey, J.M., et al., Genetic and Environmental Influences on Sexual Orientation and Its Correlates in an Australian Twin Sample, JOURNAL OF PERSONALITY AND SOCIAL PSYCHOLOGY (Mar. 2000). These data confirm a strong genetic contribution to sexual orientation, and although "the precise nature of these factors [has] yet to be understood" (Whitam 1993), the point is the same: whatever the proportion of that genetic contribution, it is not changeable.

Lastly, twin studies consistently show that male sexual orientation is moderately heritable. For example, two twin studies in population-based samples both report moderate tendency to inherit characteristics, with the remaining variance being explained by non-genetic biological factors. See Mustanski, B.S., et al., A Genomewide Scan of Male Sexual Orientation, Human Genetics (2005).

#### B. Pedigree Studies Have Found that Sexual Orientation is Heritable in Families.

A pedigree study examines a particular family tree in an attempt to discover whether certain traits are prevalent coming down from a specific set of ancestors. Both male and female homosexuality appears to run in families. Pillard, R.C., et al., Evidence of Familial Nature of Male Homosexuality, Archives of GENERAL PSYCHIATRY (Aug. 1986); Pattatucci, A.M.L., et al., Development and Familiality of Sexual Orientation in Females, Behavior Genetics (Sept. 1995); Pillard, R.C., Homosexuality From a Familial and Genetic Perspective, Textbook of Homosexuality and MENTAL HEALTH (1996); Bailey, J.M., et al., A Family History Study of Male Sexual Orientation Using Three Independent Samples, Behavior Genetics (1999). "Powerful evidence exists that homosexuality runs in families, and no evidence contradicts it." (Pillard 1996). Family and twin studies reveal that genetic factors play an important role in the development of sexual orientation along the entire natural spectrum of sexuality, from heterosexuality to homosexuality.<sup>7</sup>

<sup>&</sup>lt;sup>7</sup> Mustanski B.S., et al., A Critical Review of Recent Biological Research on Human Sexual Orientation, Annual Review of Sex Research (2002); Mustanski B.S., et al., A Genomewide Scan of Male Sexual Orientation, Human Genetics (Mar. 2005); Mustanski B.S., et al., Mental Health Disorders, Psychological Distress, and Suicidality in a Diverse Sample of Lesbian, Gay, Bisexual, and Transgender Youths, American Journal of Pub. Health (Dec. 2010); Newcomb M.E., et al., Examining Risk and Protective Factors for Alcohol Use in Lesbian, Gay, Bisexual, and (Continued on following page)

#### C. Fraternal Birth Order Effect Confirms Biological Origin of Sexual Orientation.

Over seventeen published reports of sexual orientation reveal that homosexual orientation was statistically significantly correlated with an increasing number of older brothers but not sisters. Anthony F. Bogaert, PhD has published dozens of analyses with the conclusion that the most consistent biodemographic correlate of sexual orientation in men is the number of older brothers (fraternal birth order). See Bogaert, A.F., et al., Sexual Orientation, Fraternal Birth Order, and the Maternal Immune Hypothesis, FRONTIERS IN NEUROENDOCRINOLOGY (2011). The 2011 study also demonstrated that non-biological siblings (i.e., adopted or step older brothers) had no effect on men's sexual orientation. Id.

Ray Blanchard, PhD has likewise found that the most broadly established finding in the area of etiological research on homosexuality is that biological older brothers increase the odds of homosexuality in later-born males, even if they were reared in different households. In contrast, sisters, step brothers or adoptive brothers have no effect on sexual orientation. See Blanchard, R., Fraternal Birth Order and the Maternal Immune Hypothesis of Male Homosexuality, Hormones and Behavior (Sept. 2001); Blanchard, R., Quantitative and Theoretical Analyses of the Relation

 $<sup>\</sup>label{thm:conditional} \textit{Transgender Youth: A Longitudinal Multilevel Analysis, Journal of Studies on Alcohol and Drugs (2012).}$ 

Between Older Brothers and Homosexuality in Men, JOURNAL OF THEORETICAL BIOLOGY (Sept. 21, 2004).

Two recent discoveries, using a sample of 944 homosexual and heterosexual participants, showed that biological older brothers increase the odds of homosexuality, even if these older brothers were reared in a different household than a younger gay brother. To quantify the effect, "each additional older brother increases a male's odds of homosexuality by 33%." See Jannini, E.A., et al., Male Homosexuality: Nature or Culture? Controversies in Sexual Medicine (2010). Other studies have found that if one accepts an odds increase of 33% and assumes a prevalence of homosexuality of 2% for men with no older brothers, then the effect of fraternal birth order would exceed all other causes of homosexuality in groups of gay men with three or more older brothers and would equal all other causes in a theoretical group with 2.5 older brothers. *Id*.

Lastly, in a 2008 study, it was found that homosexuals had a significantly greater number of brothers compared with heterosexuals. Mean numbers of older sisters, younger brothers and younger sisters did not differ between homosexuals and heterosexuals. Iemmola, F., et al., New Evidence of Genetic Factors Influencing Sexual Orientation in Men, Archives of Sexual Behavior (2009).

### D. Pre-Natal Hormone Levels Affect Sexual Orientation.

All sexually dimorphic traits and skills occur on a spectrum. Prenatal androgenic (male-like) hormone variations have been repeatedly highly correlated with many neurological, physiological and anatomical traits as well as sexual thought patterns, sexual orientation, and gender identity. Because all these innate traits typically express together with sexual orientation and gender identity, there are significant areas of overlap in their respective (and mutual) biological causes.

The sexual determination of sexual organs happens in the first trimester, well before the sexual differentiation of the brain, which is completed in the second trimester. Swaab, D.F., et al., Sexual Differentiation of the Human Brain in Relation to Gender Identity and Sexual Orientation, Functional Neu-ROLOGY (Jan.-Mar. 2009). It is therefore possible for the fetal brain to be imprinted differently than the fetal genitals, resulting in diversity of gender identity. and sexual orientation. These innate abilities and traits, conferred together in the first trimester, which typically differ between males and females, are called "sexually dimorphic traits." As children mature, their innate sexually dimorphic thought patterns, abilities and natural behaviors are expressed, as is their gender identity and later on as their sexual orientation. Because the developing fetus is exposed to so many hormones, enzymes and proteins that are secreted at varying times and varying levels, all of the sexually dimorphic human features, from gender identity and sexual orientation, to bone structures, physiological abilities and behaviors, are conferred as continuous variables on a broad spectrum of possibilities, rather than falling into one of two binary categories. Thus, the concepts of strictly heterosexual or homosexual, solidly male or female represent the furthest ends of the spectrum. Based on the highly individualized inborn set of traits and features, the vast majority of humans fall somewhere along this continuum, with the vast majority clustered near the ends of the spectra. Dewing, P., et al., *Disorders of Gonadal Development*, SEMIN. REPROD. MED. (Aug. 2002).

Another example of excess prenatal androgen exposure among females is seen with girls who were gestated with a fraternal male co-twin. Some of the baby boy twin's testosterone in the amniotic fluid seeps into the baby girl's amniotic fluid, causing a cluster of androgenizing changes in the sexually dimorphic play patterns, neuroacoustic functioning, bone structure, teeth, subsequent risk of eating disorders, fetal brain anatomy, and sexual orientation. Cohen-Bendahan, C.C., et al., Is There an Effect of Prenatal Testosterone on Aggression and Other Behavioral Traits? A Study Comparing Same-Sex and Opposite-Sex Twin Girls, HORM. & BEHAV. 47 (Feb. 2005); Cohen-Bendahan, C.C., et al., Prenatal Exposure to Testosterone and Functional Cerebral Lateralization: A Study In Same-Sex and Opposite-Sex

Twin Girls, Psychoneuroendocrinology (Aug. 2004); Voracek, M., et al., Digit Ratio (2D:4D) in Twins: Heritability Estimates and Evidence For a Masculinized Trait Expression in Women From Opposite-Sex Pairs, Psychological Reports (Feb. 2007); Dempsey P.J., et al., Increased Tooth Crown Size in Females With Twin Brothers: Evidence For Hormonal Diffusion Between Human Twins in Utero, American JOURNAL OF HUMAN BIOLOGY: THE OFFICIAL JOURNAL OF THE HUMAN BIOLOGY COUNCIL (Sept. 1999); Culbert, K.M., et al., Prenatal Hormone Exposure and Risk for Eating Disorders: A Comparison of Opposite-Sex and Same-Sex Twins, Archives of Gen. Psychiatry (Mar. 2008); Peper, J.S., et al., Does Having a Twin-Brother Make For a Bigger Brain?, Eur. J. Endocrinology (Feb. 18, 2009).

#### 1. Congenital Adrenal Hyperplasia.

There is also compelling evidence for a contribution of prenatal hormones to the development of homosexual orientation. This is illustrated best by congenital adrenal hyperplasia ("CAH") due to 21-hydroxylase deficiency, a genetic condition in which female fetuses are exposed to unusually high levels of androgens produced by their own adrenal glands. In CAH, a defect within the CYP21A2 gene causes a disturbance in the development of an enzyme (P450c21) in the cortisol synthesis pathway, resulting in a build-up of androgens (male hormones) in the fetal blood. Money, J., et al., *Bisexually Concordant, Heterosexually and Homosexually Discordant: A Matched-Pair* 

Comparison of Male and Female Adrenogenital Syndrome, Psychiatry (May 1987); Ehrhardt, A.A., et al., Psychosexual Development: An Examination of the Role of Prenatal Hormones, CIBA FOUND. SYMP. (Mar. 14-16, 1978); Meyer-Bahlburg, H.F., et al., Sexual Orientation in Women With Classical or Non-Classical Congenital Adrenal Hyperplasia as a Function of Degree of Prenatal Androgen Excess, ARCHIVES OF SEX-UAL BEHAVIOR (Feb. 2008). In 1976, this adrenal disease was called "adrenogenital syndrome" because infant girls were born with large clitorises and more male-like active play patterns,8 voices,9 and some of the skeletal structure typical for boys. 10 Many of the affected females were observed to later identify as lesbian or bisexual in adulthood, and a small proportion were observed to have transitioned to a male gender identity.11

<sup>&</sup>lt;sup>8</sup> Hines, M., et al., Androgen and the Development of Human Sex-Typical Behavior: Rough-and-Tumble Play and Sex of Preferred Playmates in Children With Congenital Adrenal Hyperplasia (CAH), CHILD DEV. (1994); Pasterski, V., et al., Increased Aggression and Activity Level in 3- to 11-Year-Old Girls With Congenital Adrenal Hyperplasia (CAH), HORM. BEHAV. (Sept. 2007).

<sup>&</sup>lt;sup>9</sup> Nygren, U., et al., Voice Characteristics in Women With Congenital Adrenal Hyperplasia Due to 21-Hydroxylase Deficiency, CLINICAL ENDOCRINOLOGY (Oxf.) (Jan. 2009).

<sup>&</sup>lt;sup>10</sup> Breedlove S.M., *Organizational Hypothesis: Instances of the Fingerpost*, Endocrinology (Sept. 2010).

<sup>&</sup>lt;sup>11</sup> Meyer-Bahlburg H.F., et al., Gender Development in Women With Congenital Adrenal Hyperplasia as a Function of Disorder Severity, Archives of Sexual Behavior (Dec. 2006); (Continued on following page)

#### 2. Xenoandrogens.

From 1940 to 1970, diethylstilbestrol was prescribed for women in the first trimester of pregnancy to prevent miscarriage, but was later found to be ineffective and harmful. It also raised in a statistically significant way the chances of lesbian orientation in the female offspring, and possibly also male-to-female transsexualism in the male offspring. Ehrhardt, A.A., et al., Sexual Orientation After Prenatal Exposure to Exogenous Estrogen, Archives of Sexual Behavior (Feb. 1985). Prenatal exposure to phenobarbital and phenytoin has been linked to higher rates of undescended testes, genital anomalies, male homosexuality, and male to female transsexualism. Dessens, A.B., et al., Association of Prenatal Phenobarbital and Phenytoin Exposure With Genital Anomalies and Menstrual Disorders, Teratology (Oct. 2001); Dessens, A.B., et al., Prenatal Exposure to Anticonvulsants and Psychosexual Development, Archives of Sexual Behav-IOR (Feb. 1999).

Nordenskjold, A., et al., Type of Mutation and Surgical Procedure Affect Long-Term Quality of Life For Women With Congenital Adrenal Hyperplasia, J. CLIN. ENDOCRINOL. METAB. (Feb. 2008).

# E. Analysis of Male Genetic Material Indicates that Sexual Orientation is Heritable.

Research into the actual genetic material carried by males also shows that sexual orientation in men is a trait housed in their very DNA. Each person has two sex chromosomes, X and Y. A female has two X chromosomes while a male has an X and a Y, and any two parents each supply one chromosome each. A mother's contribution is always an X chromosome, a father's can be either an X or a Y, and will thus determine the chromosomal sex of the child. Thus, a threshold question in considering the heritability of certain traits is whether that characteristic occurs in the X or Y chromosome.

For any male, a gene (or genes) located in the X chromosome is necessarily inherited from his mother. By means of comparative genetic studies of a number of pedigrees, William Turner's research in 1995 indicated that gene(s) for same-sex attraction of some homosexuals reside in the terminal region of the long arm of the X chromosome (denoted Xq28) (Turner 1995); see also Hamer, D.H., et al., A Linkage Between DNA Markers on the X Chromosome and Male Sexual Orientation, Science (Jul. 1993); Pattatucci, A.M.L., Biopsychosocial Interactions and the Development of Sexual Orientation, Lesbian, Gay, and Bisexual Iden-TITIES IN FAMILIES (1998); Pattatucci, A.M.L., Molecular Investigations into Complex Behavior: Lessons from Sexual Orientation Studies, The International JOURNAL OF POPULATION BIOLOGY AND GENETICS (Apr.

1998). Turner based his conclusions on evidence derived from his pedigree studies showing that homosexual males had a significantly higher incidence of having maternal uncles who are also homosexual, implicating some component of the X chromosome.

## F. Brain Studies Show the Biologic Roots of Sexual Orientation.

With analysis of human genetic material on one end of the spectrum, and outward manifestations (i.e., sexual orientation) on the other, examination of subsidiary biology – in particular the brain anatomy and function – further undercuts the petitioners' position. Studies dealing with brain differences between heterosexual and homosexual men showed several significant differences. The interstitial nucleus of the anterior hypothalamus ("INAH3"), located more or less in the center of the brain, is two to three times larger in straight men than in gay men. LeVay, S.A., Difference in Hypothalamic Structure Between Heterosexual and Homosexual Men, Science (Aug. 1991). LeVay's study is highly suggestive that hormones and fetal brain development may be interrelated, and that the INAH3 structures of gay men were more similar to those of heterosexual females than to those of heterosexual males.

Moreover, other studies of the brain involving the anterior commissure, and the suprachiasmatic nucleus also showed structural differences between gay and straight men. Allen, L.S., et al., *Sexual* 

Orientation and the Size of the Anterior Commissure in the Human Brain, Proc. Nat'l Acad. Sci. (Aug. 1992); Swaab, D.F., et al., An Enlarged Suprachiasmatic Nucleus in Homosexual Men, Brain Research (Dec. 1990). For example, experimental studies more than forty years ago at the University of Kansas showed that manipulating the levels of testosterone during fetal development of guinea pigs and rats could influence the sexual behavior of the adult. These manipulations also affected the size as well as the structural characteristics of the sexually dimorphic nucleus in the brain. See LeVay, S.A., Difference in Hypothalamic Structure Between Heterosexual and Homosexual Men, Science (Aug. 1991). As Bailey and his colleagues (1999) state, "[t]he most influential biologic theory of sexual orientation is that male homosexuality results from incomplete masculinization of relevant brain structures during prenatal development." Bailey, J.M., et al., A Family History Study of Male Sexual Orientation Using Three Independent Samples, Behavior Genetics (1999).

#### G. The Overwhelming Majority of Humans Self-Describe Their Sexual Orientation as Innate.

Taking a view of the scientific data as a whole, it can come as no surprise that they are consistent with the views of innateness of all sexual orientation shared by members of the gay and lesbian community. For example, in a national survey conducted in 2010 with a representative sample of more than 650

self-identified lesbian, gay and bisexual adults, 95% of the gay men and 83% of the lesbian women reported that they experienced "no choice at all" or "small amount of choice" about their sexual orientation. See Herek, G.M., Demographic, Psychological, and Social Characteristics of Self-Identified Lesbian, Gay, and Bisexual Adults in a US Probability Sample, Sexuality Res. & Soc. Poly (2010). No peerreviewed published scientific studies support the hypotheses that life experience causes homosexuality, that sexual orientation is learned, that there is a psychological cause of homosexuality or that sexual orientation is chosen.

### IV. MISGUIDED EFFORTS TO CHANGE SEX-UAL ORIENTATION PROVE THE INNATE-NESS OF THE TRAIT

Although not directly regulated by DOMA's differential treatment of married couples, sexual orientation change efforts ("SOCE") are relevant in considering the immutability of sexual orientation. The corollary to the petitioners' argument that sexual orientation is mutable and changeable is the grim reality that follows efforts to change individuals' sexual orientation. That is to say: if the petitioners were correct that homosexuals bore the brunt of DOMA because of their own "choice" and not because of innate characteristics, what would the practical consequence be of suggesting that the law's discrimination could be avoided by making a different "choice"? The answer, unfortunately, is sobering, and entails the

causation of severe depression, anxiety, and suicides. The clinical research disproves what the petitioners blithely misunderstand as merely a change in temporal expressions. Indeed, Dr. Robert L. Spitzer, the most prominent proponent of SOCE has actually retracted his position on the grounds that the effects of SOCE were severely harmful to participants.

The consensus among the established medical community is that SOCE are generally futile and potentially dangerous to an individual's well-being, suggesting that sexual orientation is innate. See AMERICAN PSYCHOLOGICAL ASSOCIATION REPORT OF THE AMERICAN PSYCHOLOGICAL ASSOCIATION TASK FORCE ON Appropriate Therapeutic Responses to Sexual Ori-ENTATION (2009) ("[E]fforts to change sexual orientation are unlikely to be successful and involve some risk of harm."); see also Richard A. Posner, SEX AND Reason (1992) (describing "failure of treatment strategies ... to alter homosexual orientation"); Haldeman, D., The Practice and Ethics of Sexual Orientation Conversion Therapy, J. Consulting & Clinical Psychol. (1994) (describing "lack of empirical support for conversion therapy"); see also Perry v. Schwarzenegger, 704 F. Supp. 2d at 966 (N.D. Cal. 2010) ("No credible evidence supports a finding that an individual may, through conscious decision, therapeutic intervention or any other method, change his or her sexual orientation.").

In fact, every major mental health organization has adopted a policy statement cautioning against the use of so-called "conversion" or "reparative" therapies

to change the sexual orientation of gay and lesbian people. These policy statements are reproduced in a 2008 publication of the American Psychological Association, available at http://www.apa.org/pi/lgbt/resources/ just-the-facts.pdf. In a 2012 article, the American Academy of Child and Adolescent Psychiatry ("AACAP") advised clinicians that "there is no evidence that sexual orientation can be altered through therapy, and attempts to do so may be harmful." Adelson, S.A., et al., Practice Parameters on Gay, Lesbian, or Bisexual Sexual Orientation, Gender Nonconformity, and Gender Discordance in Children and Adolescents, J. AACAP (Sept. 2012). In a 2009 article in Pediatrics, documentation supported the conclusion that "minors who experience family rejection based on their sexual orientation face especially serious health risks." Ryan, C., et al., Family Rejection as a Predictor of Negative Health: Outcomes in White and Latino Lesbian, Gay and Bisexual Young Adults, J. Pediatrics (2009). The Pan American Health Organization, a regional office of the World Health Organization, issued a statement in May, 2012 that sexual orientation change efforts "lack medical justification and represent a serious threat to the health and well-being of affected people." The American Academy of Pediatrics has found that "[t]herapy directed at specifically changing sexual orientation is contraindicated, since it can provoke guilt and anxiety while having little or no potential for achieving changes in orientation." Policy Statement, Homosexuality and Adolescence, Am. ACAD. Pediatrics (1993). The American School Counselor

Association, American Medical Association Council on Scientific Affairs and Public Health, National Association of Social Workers, American Counseling Association Governing Council, and American Psychoanalytic Association have all issued statements opposing SOCE.

The American Psychological Association summarized this history in its 2009 Task Force paper, RE-PORT OF THE AMERICAN PSYCHOLOGICAL ASSOCIATION Task Force on Appropriate Therapeutic Responses TO SEXUAL ORIENTATION. As a starting point, the Task Force observed that "the population that undergoes SOCE tends to have strongly conservative religious views that lead them to seek to change their sexual orientation." The Task Force posed three questions: (1) are SOCE effective at changing sexual orientation; (2) are SOCE harmful; and (3) are there any additional benefits reasonably attributable to SOCE? To do so, the Task force "decided to conduct a systematic review of the empirical literature on SOCE." Id. at 81. The Task Force found that "negative side effects" of those efforts included "loss of sexual feeling, depression. suicidality, and anxiety." Id. at 83. Moreover, the Task Force found that it does not work: "scientific evidence shows that SOCE is not likely to produce its intended outcomes. . . . " Id.

These empirical findings are borne out by tragic and personal experiences. *Amici Curiae* in the matter of *Welch v. Brown* before the Ninth Circuit (addressing a statute that proposed to ban SOCE in California) stated their perspective: "the serious harms that

[survivors of SOCE], their families, and others suffered because they were submitted to dangerous practices, illustrating the serious risk" involved. The personal testimony described the lengths to which SOCE tried to go (because the innate characteristic was naturally unresponsive to mere suggestion), including teaching participants that they were the result of "inadequate parenting," and being "sinful," all of which led to "periods of drug abuse and homelessness." Notably, the National Association for Research & Therapy of Homosexuality ("NARTH"), a vocal *Amicus Curiae* (see below), was the host for much of this misbegotten "therapy." Tragically, one of the Welch amici took his own life.

The misguided view that SOCE proponents take is perhaps best illustrated by the experience of Dr. Robert L. Spitzer. An early proponent of destigmatizing the "disorder" classification of homosexuality, Dr. Spitzer nonetheless argued for years that SOCE could be effective. Dr. Spitzer presented a study in 2001 that claimed a majority of its participants had reported change "from a predominantly or exclusively homosexual orientation to a predominantly or exclusively heterosexual orientation." Benedict Carey, *Psychiatry Giant Sorry for Backing Gay 'Cure'*, NEW YORK TIMES (May 18, 2012). After years of corrective analysis, however, Dr. Spitzer was appropriately forceful in his retraction.

These comprehensive and specific results obliterate the anecdotal and conclusory statements offered by petitioners and their amici that sexual orientation is somehow changeable. Were they correct, the heart-breaking consequences of SOCE could have been avoided. That is not, unfortunately, the reality.

### V. THE STUDIES CITED BY SUPPORTERS OF DOMA FAIL TO DEMONSTRATE THAT SEXUAL ORIENTATION IS BEHAVIORAL

Against the backdrop of scientific consensus that innate factors are an essential component of sexual orientation, petitioners rely on misinterpretation of published studies that actually prove the opposite point.

### A. Petitioners' Repeated Reliance on the Work of Lisa Diamond Actually Demonstrates that Sexual Orientation is Innate, Not the Reverse.

Probably the studies most distorted from their original conclusions are those of Dr. Lisa Diamond. Although petitioners' current brief is silent about Dr. Diamond's work, petitioners took a different strategy in the Court of Appeals, and their amici here cite Dr. Diamond liberally out of context (see, e.g., McHugh Amicus Curiae Br. at 8, 10, 21, 22, 25, 27), as have other amici who oppose marriage equality (see, e.g., Frederick Douglass Foundation as amicus in the matter of Golinski v. Office of Personnel Mgmt., Nos. 12-15388 and 12-15409 (9th Cir. June 11, 2012)). Dr. Diamond's work has been cited for arguments it does

not support, to the point that Dr. Diamond herself has felt compelled to speak out.

Diamond conducted a 2-year study and published her conclusions in Sexual Identity, Attractions, and Behavior Among Young Sexual-Minority Women Over a 2-Year Period, 36 DEVELOPMENTAL PSYCHOLOGY 241, 247 (2000). That study was cited in defense of DOMA (to argue against immutability) by the Frederick Douglass Foundation as amicus in the matter of Golinski v. Office of Personnel Mgmt., Nos. 12-15388 and 12-15409 (9th Cir. June 11, 2012), ECF No. 59. The amicus argued that Diamond had found that "[h]alf of the young women in this sample relinguished the first sexual-minority identity they adopted." This is an oversimplification to the point of rendering Diamond's work unrecognizable. In fact, Diamond's 2000 paper starts from the premise that "sexual attractions appear fairly stable" once developed. 36 DEVELOPMENTAL PSYCHOLOGY at 246. Diamond acknowledges some fluidity in sexual identity as youth explore their sexual thoughts in a family, educational system and society that encourages one orientation over the other. Dr. Diamond, also notes that it "may be an inevitable consequence of the fact that most young women in this sample . . . experience attractions for both sexes. This non-exclusivity leaves open the possibility for multiple identities and behaviors over time, even when attractions remain stable." Id. at 246-47. In addition, Diamond points out that "numerous researchers have argued that there is

extensive overlap between lesbianism and bisexuality, and the findings here support this view." *Id.* at 247.

This overlap undercuts any attempt by petitioners and their amici to convert self-identification of differing attractions over the study period into a categorical change of status. Diamond says no such thing. Indeed, given the identified overlap, it would follow naturally that at least some of the respondents would call themselves by a different sexual identity, even if their sexual attraction for a particular gender remained constant.

Diamond also published her analysis of a 10-year longitudinal study in 2008. See Female Bisexuality from Adolescence to Adulthood: Results from a 10-Year Longitudinal Study, Dev. Psychology (2008). Once again, the Frederick Douglass Foundation and McHugh conflate data concerning specific incidents of attraction with wholesale renunciation of sexual orientation. Diamond observes that "[b]y the 10-year point, 67% of participants had changed their identities at least once since T1, and 36% had changed identities more than once." Id. at 9. These statistics are not limited solely to lesbians, but to the entire pool of participants, which includes women who identify as bisexual, lesbian, or who, critically, are undecided. Bisexual and unlabeled women were more likely to switch between bisexual and unlabeled identities. Such a change is not a change between heterosexual and homosexual, necessarily, or even likely. Moreover, it makes perfect sense that persons who initially identify as undecided later declare an

orientation (either heterosexual or homosexual); that is not really a change at all. Sexual orientation is not binary, black and white, either or. Rather it is an innate urge that expresses on a spectrum as a result of the prenatal biology.

Finally, Diamond is again wrenched from her actual research in the amicus brief to the First Circuit in Gill v. Office of Personnel Mgmt., filed by NARTH. NARTH claims that "[i]n the last decade Dr. Lisa Diamond reported significant longitudinal data that clearly shows the fluidity of the sexual orientation of women," pointing to What We Got Wrong About Sexual Identity Development: Unexpected Findings From a Longitudinal Study of Young Women in Sexual Orientation and Mental Health: Examining Identity and Development in Lesbian, Gay, and Bisexual People, SEXUAL ORIENTATION AND MENTAL HEALTH: EXAMINING IDENTITY AND DEVELOPMENT IN LESBIAN, GAY, AND BISEXUAL PEOPLE, 79 (A.M. Omoto & H.S. Kurtzman, eds. 2005). Once again, however, the fact that Diamond found sexual fluidity in her sample pool is partially attributed to the fact that 28% of participants were uncertain about their sexuality, and another 30% identified as bisexual. A significant percentage of those who were uncertain would be expected ultimately to adopt a sexual identity, thus resulting in a change in label but not of orientation.

Proponents of DOMA cite certain studies to suggest that if there are *any* environmental influences on sexual attraction, sexual orientation cannot be an innate characteristic. This is plainly not so as a matter

of logic, and the authority that petitioners cite actually confirms findings of genetic determination as well. See Langstrom, N., et al., Genetic and Environmental Effects of Same-Sex Sexual Behavior: A Population Study of Twins in Sweden, Archives of Sexual Behavior (2010) ("Langstrom"). The Golinski amicus brief by the Frederick Douglass Foundation at 9 cites Langstrom as "finding [that] genetic effects explained .34-.39 of the variance in men and .18-.19 of the variance in women and concluding that "'same-sex behavior arises not only from heritable but also from individual specific environmental sources." This concedes some genetic correlation, of course. See Langstrom at 77 ("Our results support the notion that same-sex behavior arises not only from heritable but also from individual-specific environmental sources"). Not only that, but the entire study's value is limited by the fact that findings of "same-sex behavior was relatively rare," id., and that even in a sexually liberated country like Sweden, "the number of pairs where both twins choose to reveal same-sex behavior will remain limited." Id. at 79.

Not surprisingly, Dr. Diamond herself has flatly repudiated these strained interpretations. In particular, she noted "no matter how many times I endeavor to clarify what fluidity means, and what my research shows, it doesn't seem to matter." See HUFFINGTON POST, The Doctor is Out . . . and Outspoken: An Interview with Dr. Lisa Diamond (May 12, 2012). To make the point clear, Dr. Diamond submitted an affidavit to the District Court below on August 20, 2011

in response to citations to her research, in which she reminded the Court (emphasis added):

My quoted statement concerns the scientific and popular debates over the defining characteristics of LGBT individuals and it says nothing whatsoever about the immutability of sexual orientation itself. Hence, [petitioner Bipartisan Legal Advisory Group of the House of Representatives ("BLAG")] has incorrectly characterized my research. BLAG [] states [] that "according to multiple studies, a high number of persons who experience sexual attraction to members of the same sex early in their adult lives later cease to experience such attraction." In support of this claim BLAG provides the following quote from one of my articles: "50% [of respondents] had changed their identity label more than once since first relinquishing their heterosexual identity." This quoted statement refers to sexual identity labels (i.e., how individuals describe and interpret their sexuality), and not to sexual orientation.

Expert Affidavit of Lisa M. Diamond, PhD, No. 3:10-cv-01750-VLB (D. Conn.), Sept. 14, 2011, ECF No. 99, at pp. 2-3. Dr. Diamond herself thus makes the point by negative implication: a change over time in the way individuals label their levels of sexual attraction – particularly where one of the labels is the overlapping category of bisexual – does not even imply, let alone demonstrate, a fluidity of sexual orientation itself.

# B. Petitioners' Other Studies Do Not Support the Conclusion that Sexual Orientation is Behavioral.

Bearman, P., et al., Opposite-Sex Twins and Adolescent Same-Sex Attraction, 107 Am. J. of Soci-OLOGY 1179, 1180 (2002), has been cited for the proposition that "efforts to establish genetic or hormonal effects on sexual orientation have been 'inconclusive at best." See, e.g., McHugh Br. at 20.12 This study involved 3,139 pairs of siblings who were in the seventh through twelfth grades, a time of sexual exploration and development. Of this pool, 784 pairs were twins. The biggest flaw with this approach is that the vast majority of individuals in the study were not involved in any same-sex sexual behavior (if any sexual behavior at all), and their youth precluded any firm establishment of orientation. In light of this limitation, the authors chose instead to focus on same-sex attraction, which is known to be fluid in youth as they begin to explore their sexual urges and navigate in a society that stigmatizes one orientation over the other. More to the point, the authors acknowledge that "the number of adolescents involved in homosexual relationships is too small in our sample to assess genetic influence statistically with any confidence." Bearman at 1200.

 $<sup>^{^{12}}</sup>$  *Hollingsworth* petitioner *Amicus Curiae* McHugh cites several studies for this proposition, all of which fail for the same reasons.

BLAG cites Dr. Letitia Anne Peplau in support of its argument that the origins of sexual orientation are "not well understood," BLAG Br. 55 (quoting JA 500), and that some people "cannot be readily characterized as heterosexual, homosexual, or perhaps bisexual." BLAG Br. 56 (quoting Linda D. Garnets & Letitia Anne Peplau, A New Paradigm for Women's Sexual Orientation: Implications for Therapy, 24 Women & Therapy 111, 113 (2001). But the fact that scientists have not yet discovered exactly how sexual orientation is determined does not mean that there is any scientific debate about whether it is changeable. Similarly, as Dr. Peplau explained in a declaration submitted in this case, "the significant majority of adults exhibit a consistent and enduring sexual orientation." ¶ 23. Not only is sexual orientation stable for the significant majority of adults, but, as Dr. Peplau stated, BLAG is wrong to assert that sexual orientation is an amorphous category: "in national surveys in the U.S., nearly all participants are able to indicate their sexual orientation category." ¶ 15.

#### CONCLUSION

Sexual orientation is an innate human characteristic that deserves the full and equal protection of the

law. GLMA respectfully requests that the Court affirm the Second Circuit.

Respectfully submitted,

NICHOLAS M. O'DONNELL Counsel of Record SULLIVAN & WORCESTER LLP One Post Office Square Boston, MA 02109 (617) 338-2800 nodonnell@sandw.com

HECTOR VARGAS, Executive Director GLMA: HEALTH PROFESSIONALS ADVANCING LGBT EQUALITY 1326 18th Street, NW, Suite 22 Washington, DC 20036 (202) 600-8037

February 26, 2013

Counsel for Amicus Curiae GLMA: Health Professionals Advancing LGBT Equality